

# **Dirac-Majorana Neutrino Type Oscillation<sup>1</sup>** **(and Second Leptogenesis<sup>2</sup>)** **Induced by a Wave Dark Matter**

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Based on [Phys. Rev. D. \*\*108\*\*, 095028 \(2023\) \[arXiv: 2305.16900\]<sup>1</sup>](#), [\[arXiv: 2311.16672\]<sup>2</sup>](#)

Collaborated with YeolLin ChoeJo<sup>1,2</sup>, Kazuki Enomoto<sup>2</sup>, and Hye-Sung Lee<sup>1,2</sup>

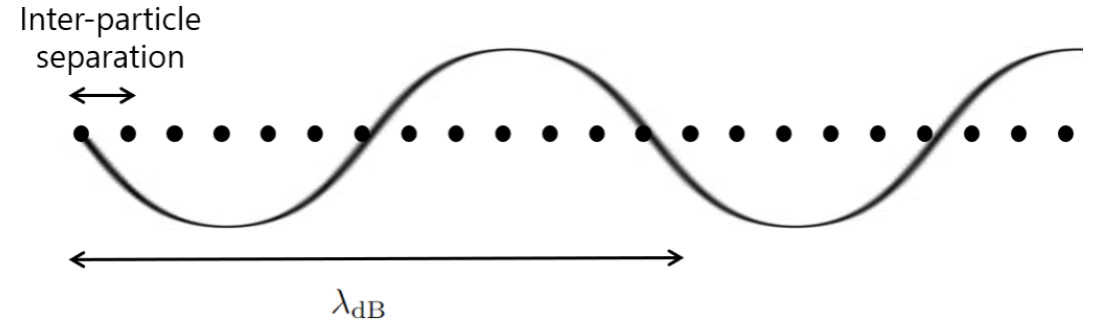
*International Workshop on Multi-probe approach to wavy dark matters*

*Nov. 30 – Dec. 2, 2023, Korea University*

# Wave dark matter

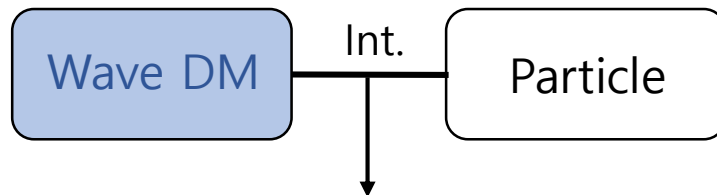
$$\lambda_{\text{dB}} = \frac{2\pi}{mv} > \text{Inter-particle separation if } m < 30 \text{ eV}$$

(Ultralight mass scale)



Equation of Motion:  $\ddot{\phi} + \cancel{3H\dot{\phi}} + m_\phi^2\phi = 0$

Solution:  $\phi(t) = \frac{\sqrt{2\rho_\phi}}{m_\phi} \cos m_\phi t$



Oscillation behavior  
of the particle mass

$$\mathcal{L} \supset -g\phi\bar{\nu}\nu + h.c. \rightarrow m_\nu(t) = g \frac{\sqrt{2\rho_\phi}}{m_\phi} \cos m_\phi t$$

## Neutrino mass variation

[A. Berlin (2016), Y. Zhao (2017),  
G. Krnjaic et al (2017), V. Brdar et al (2017) ... ]

$$\mathcal{L} = \underbrace{-m_D \bar{\nu}_D \nu_D}_{\text{Dirac mass}} - \underbrace{\frac{1}{2} m_R \bar{\nu}_M \nu_M}_{\text{Majorana mass}}$$

$$\nu_D = \nu_L + \nu_R$$

$$\nu_M = \nu_R + \nu_R^c$$

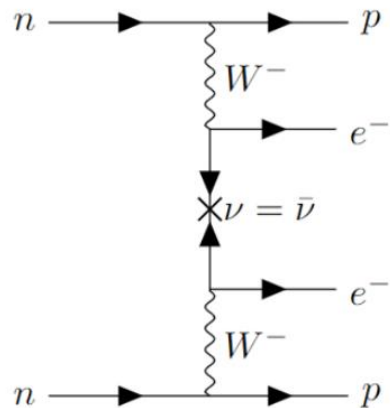
### Majorana type ( $m_D \ll m_R$ )

$$\mathcal{L} = -m_D \bar{\nu}_D \nu_D - \frac{1}{2} m_R \bar{\nu}_M \nu_M$$

Seesaw mechanism  
(for small  $m_\nu$ )

$$m_\nu = -\frac{m_D^2}{m_R}$$

Neutrinoless double beta decay  
(as LNV process)



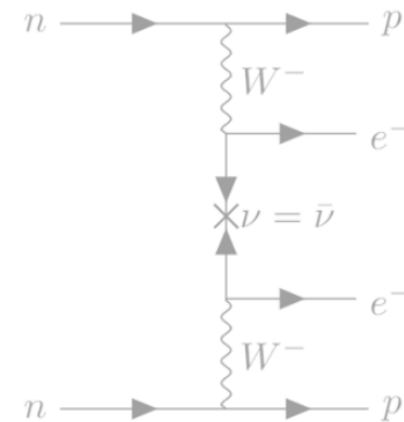
### Quasi-Dirac type ( $m_D \gg m_R$ )

$$\mathcal{L} = -m_D \bar{\nu}_D \nu_D - \frac{1}{2} m_R \bar{\nu}_M \nu_M$$

Seesaw mechanism  
**Seesaw is broken**

$$m_\nu = -\frac{m_D^2}{m_R}$$

Neutrinoless double beta decay  
**LNV is suppressed**

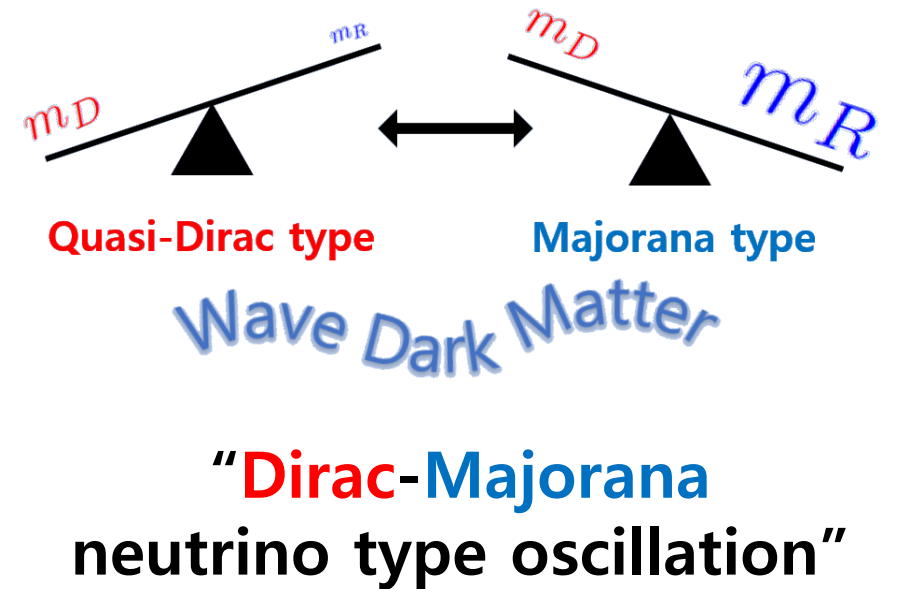
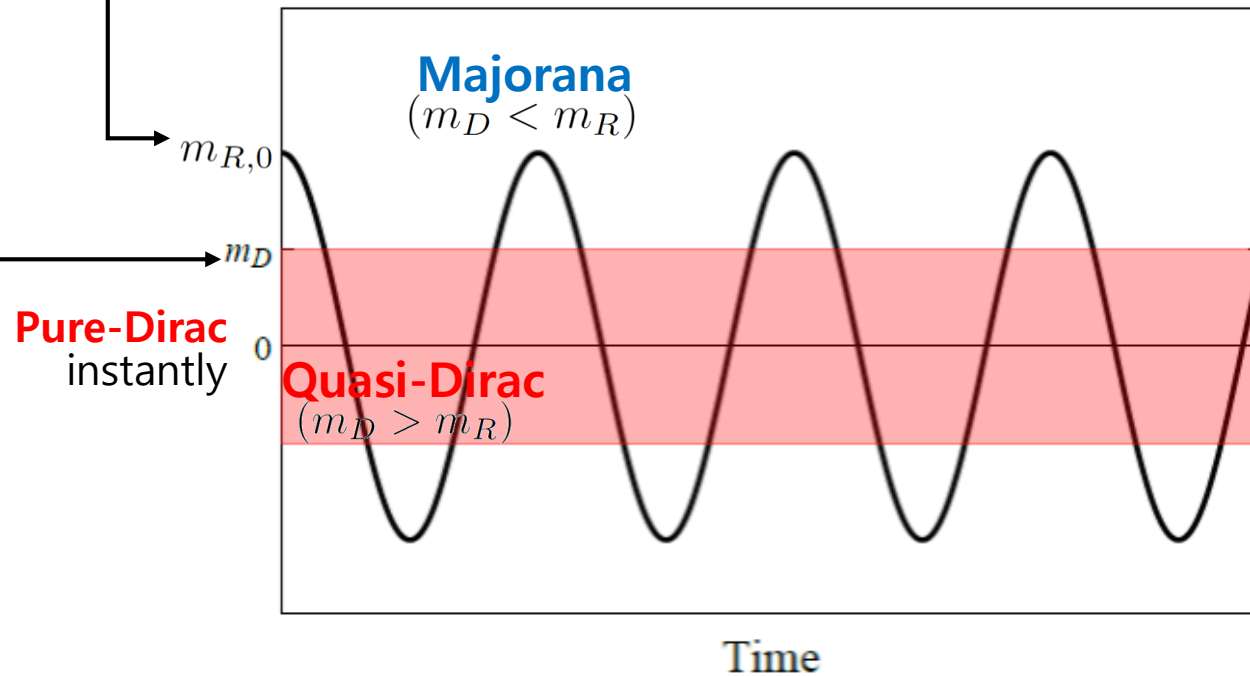


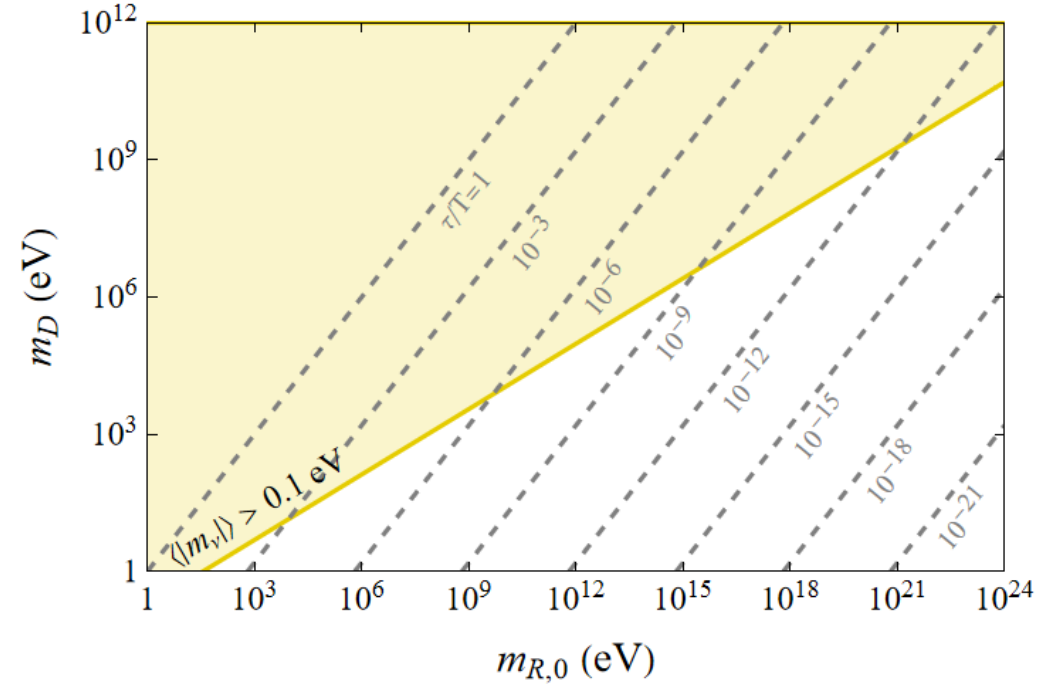
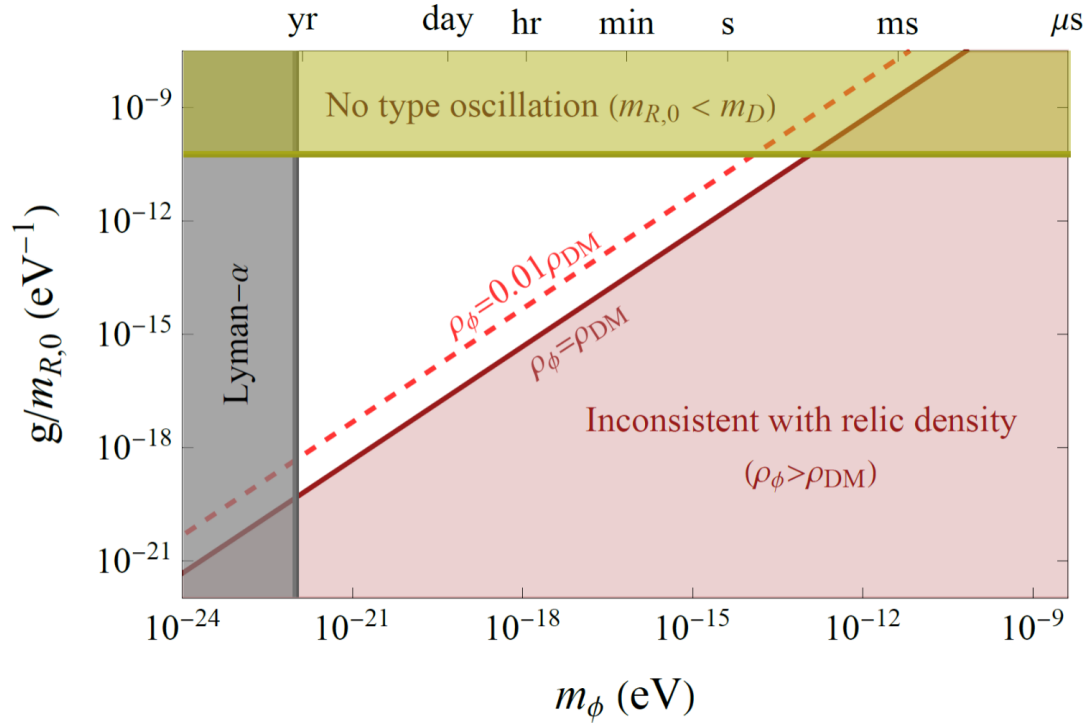
$$\mathcal{L} = -yHLN - g\phi NN + h.c.$$

$$m_D = \frac{yv}{\sqrt{2}} \quad m_R(t) = m_{R,0} \cos m_\phi t$$

(fixed)

where  $m_{R,0} = g \frac{\sqrt{2\rho_\phi}}{m_\phi}$





(1) Wave DM mass range  $10^{-22} \text{ eV} < m_{\phi} < 30 \text{ eV}$

(2) Constraint on DM relic density

$$m_{R,0} \simeq 10^{19} \text{ eV} \left(\frac{g}{1}\right) \left(\frac{\rho_{\phi}}{\rho_{\text{DM}}}\right)^{1/2} \left(\frac{10^{-22} \text{ eV}}{m_{\phi}}\right)$$

(3) Disfavored region for type oscillation

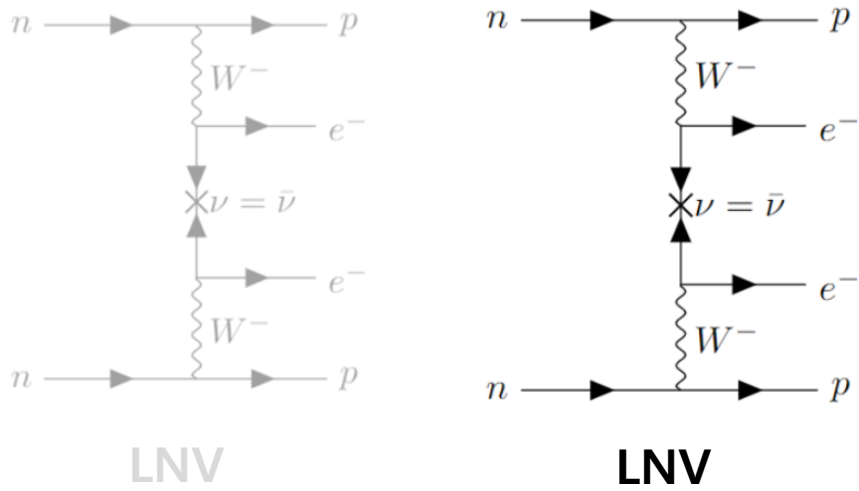
$$m_{R,0} < m_D \quad \text{with } g < 1, m_D \simeq 246 \text{ GeV}$$

Time average Mass bound  
from CMB/ $^3H$  decay

$$\langle |m_{\nu}| \rangle = \frac{1}{T} \int_0^T |m_{\nu}(t)| dt < 0.1 \text{ eV}$$

→ Short Quasi-Dirac moment for small neutrino mass  
(Also for neutrino flavor oscillation)

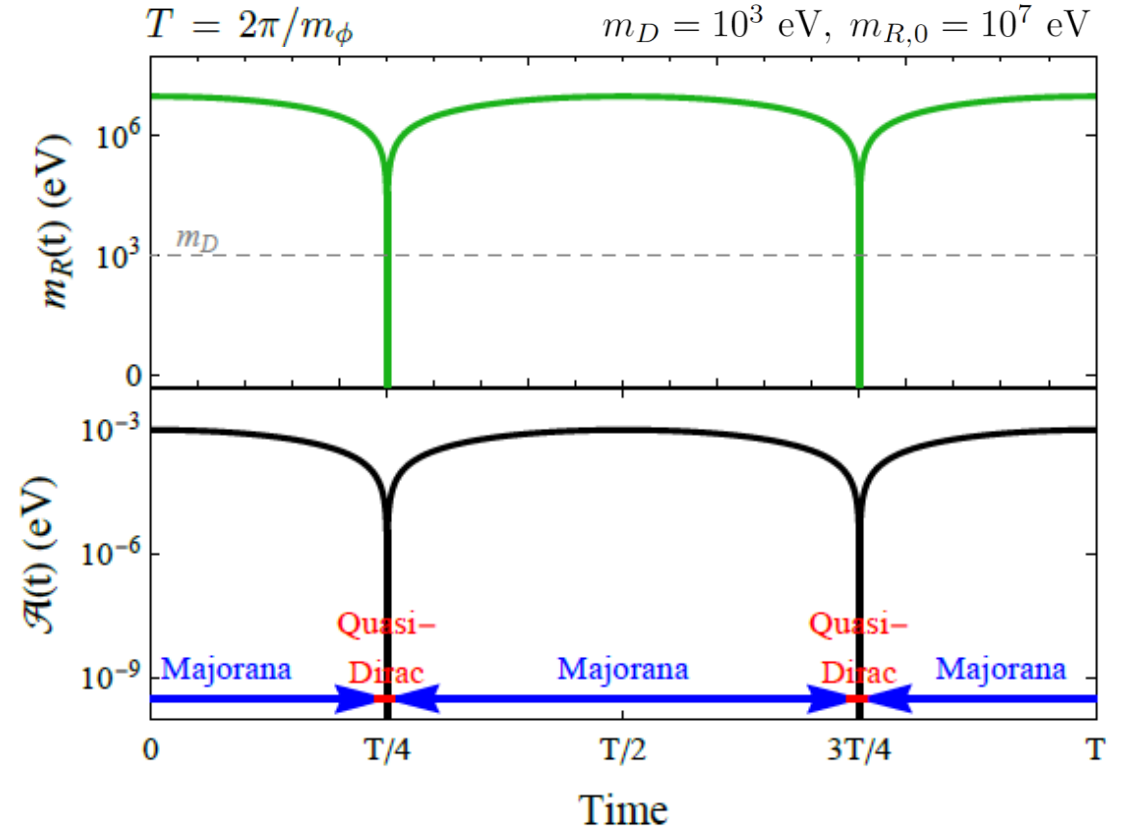
## Neutrino type oscillation



Quasi-Dirac type ↔ Majorana type

$$\Gamma_{0\nu\beta\beta} \propto \mathcal{A}^2 = \left| \sum_i U_{ei}^2 m_i \mathcal{M}(m_i) \right|^2$$

## Turn-on/off behavior of $0\nu\beta\beta$ decay



# Leptogenesis (LG)

**Majorana mass term**

$$\mathcal{L} = -yH\bar{L}N - \underbrace{M\bar{N}N}_{\text{Majorana mass term}} + h.c.$$

*CP* asymmetry

$$\epsilon_i = \frac{\Gamma(N_i \rightarrow \ell\Phi) - \Gamma(N_i \rightarrow \bar{\ell}\Phi^\dagger)}{\Gamma(N_i \rightarrow \ell\Phi) + \Gamma(N_i \rightarrow \bar{\ell}\Phi^\dagger)}$$

Heavy Majorana neutrino decay

$$N_i \rightarrow \ell\Phi, N_i \rightarrow \bar{\ell}\Phi^\dagger$$

( $N_i$  is mass eigenstate)



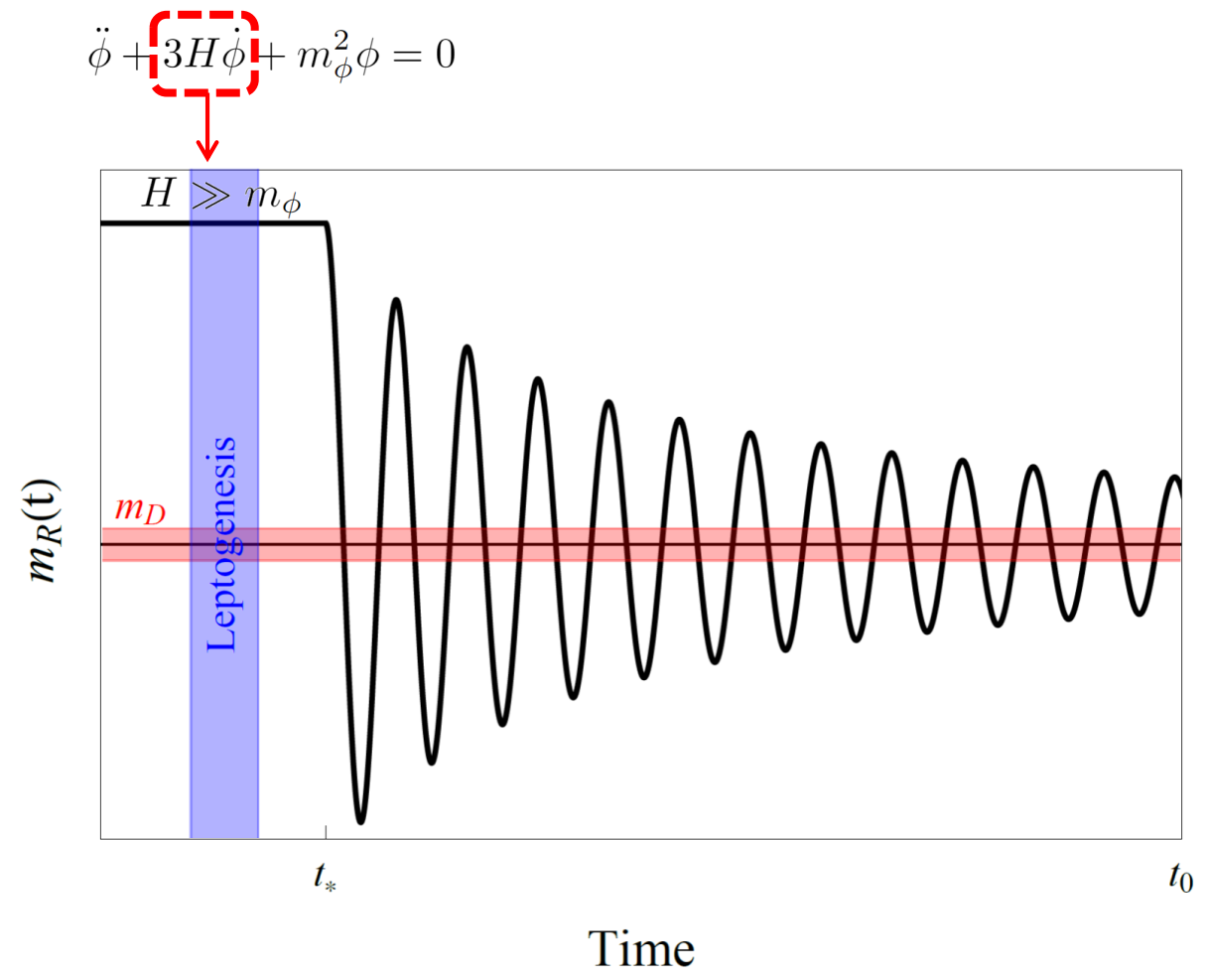
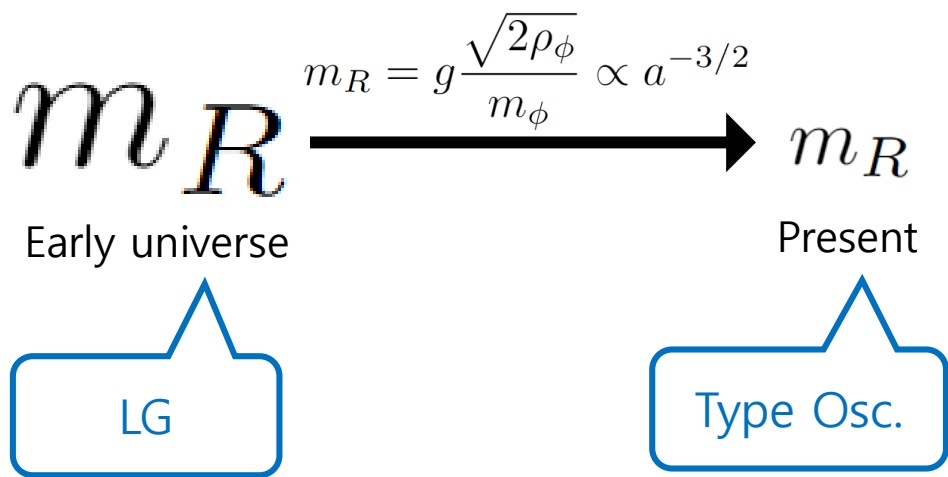
Lepton asymmetry



Sphaleron process  
( $B-L$  is conserved)

Baryon asymmetry of the universe (BAU)

$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq (6.14 \pm 0.25) \times 10^{-10}$$





## Leptogenesis with the Neutrino mass variation

Production rate of heavy neutrino

$$\Gamma_{\text{prod}} \propto e^{-M/T} \quad \text{with} \quad M(T) \propto T^{3/2}$$

$T > M(T)$  Equilibrium-in

$T < M(T)$  Decoupling

$M(T)$  has different slope with temperature  $T$ .

→ Hierarchy between  $M$  and  $T$  can be changed.

( $M \simeq m_R$  is mass eigenvalue)

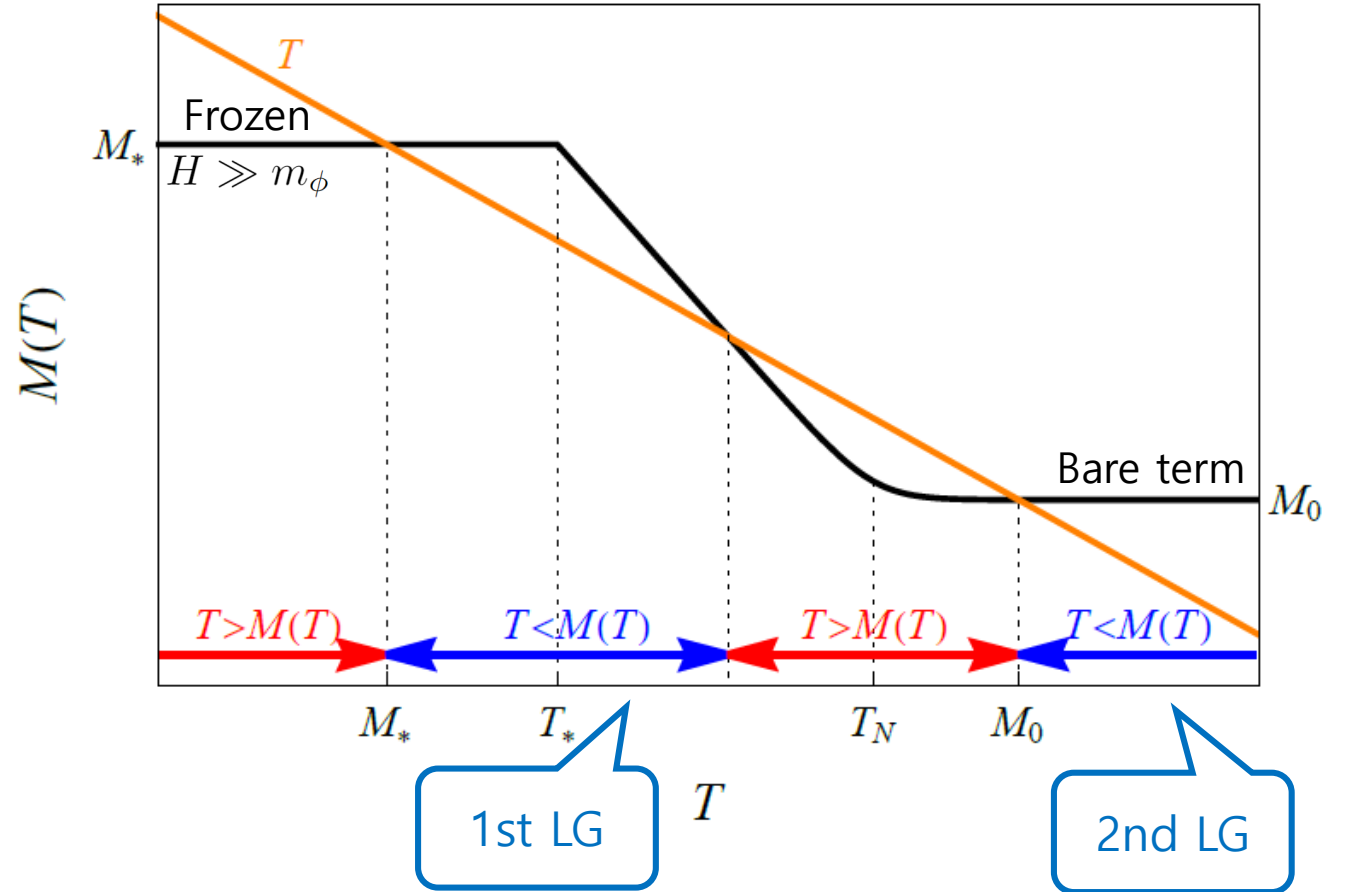
Consider  $\mathcal{L} \supset (M_0 + g\phi)\overline{N^c}N + h.c.$

Bare mass

Dominant  
when  $T_N > T$   
(present-time)

$M(T)$

Dominant  
in the early universe



**“Second Leptogenesis”**

## Lepton asymmetry

$$\eta_L \equiv \frac{n_L - n_{\bar{L}}}{n_\gamma} \simeq (7.5_{-3.0}^{+4.5}) \times 10^{-2}$$

(reported by EMPRESS

with  $^4\text{He}$  abundance observation)

[A. Matsumoto et al (2022)]

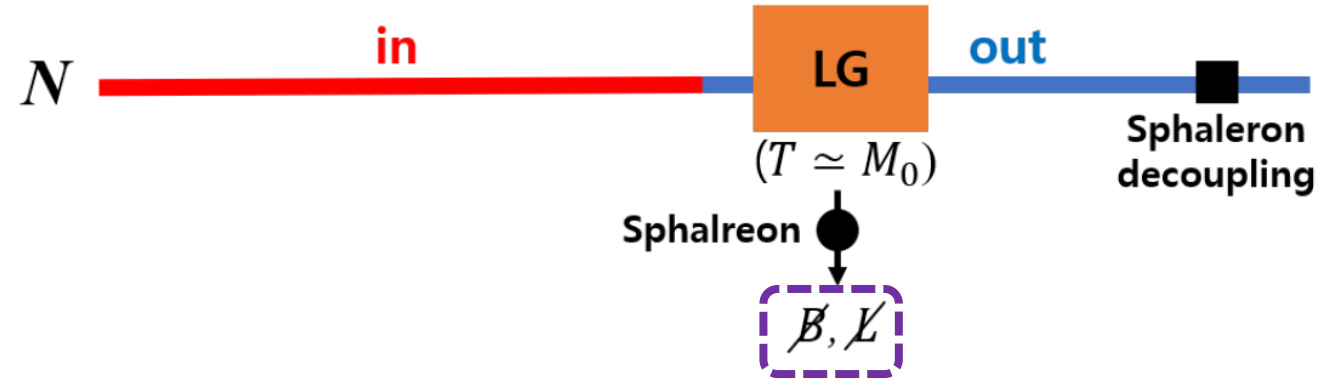


Large discrepancy!

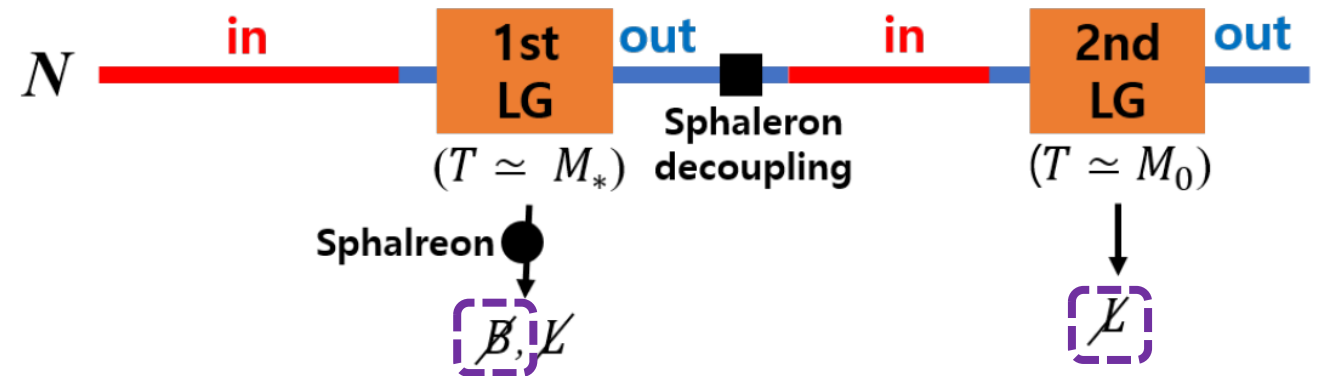
## Baryon asymmetry

$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{n_\gamma} \simeq (6.14 \pm 0.25) \times 10^{-10}$$

### (a) Standard case without mass variation



### (b) Twofold LG with mass variation



→ If sphalreon decoupling occurs between 1st and 2nd LG, the baryon asymmetry and lepton asymmetry can be different.

### Boltzmann equation (density matrix form)

$$\frac{dN_{N_i}}{dz} = -D_i(N_{N_i} - N_{N_i}^{\text{eq}})$$

$$\frac{dN_{\alpha\beta}}{dz} = \sum_i \left[ \epsilon_{i,\alpha\beta} D_i(N_{N_i} - N_{N_i}^{\text{eq}}) - \frac{1}{2} W_i \{P_i, N\}_{\alpha\beta} \right]$$

$$- \frac{\Gamma_\tau}{Hz} [I_\tau, [I_\tau, N]]_{\alpha\beta} - \frac{\Gamma_\mu}{Hz} [I_\mu, [I_\mu, N]]_{\alpha\beta}$$

Plug the mass varying effect

See [A. Granelli et al (2021)] for detailed formula

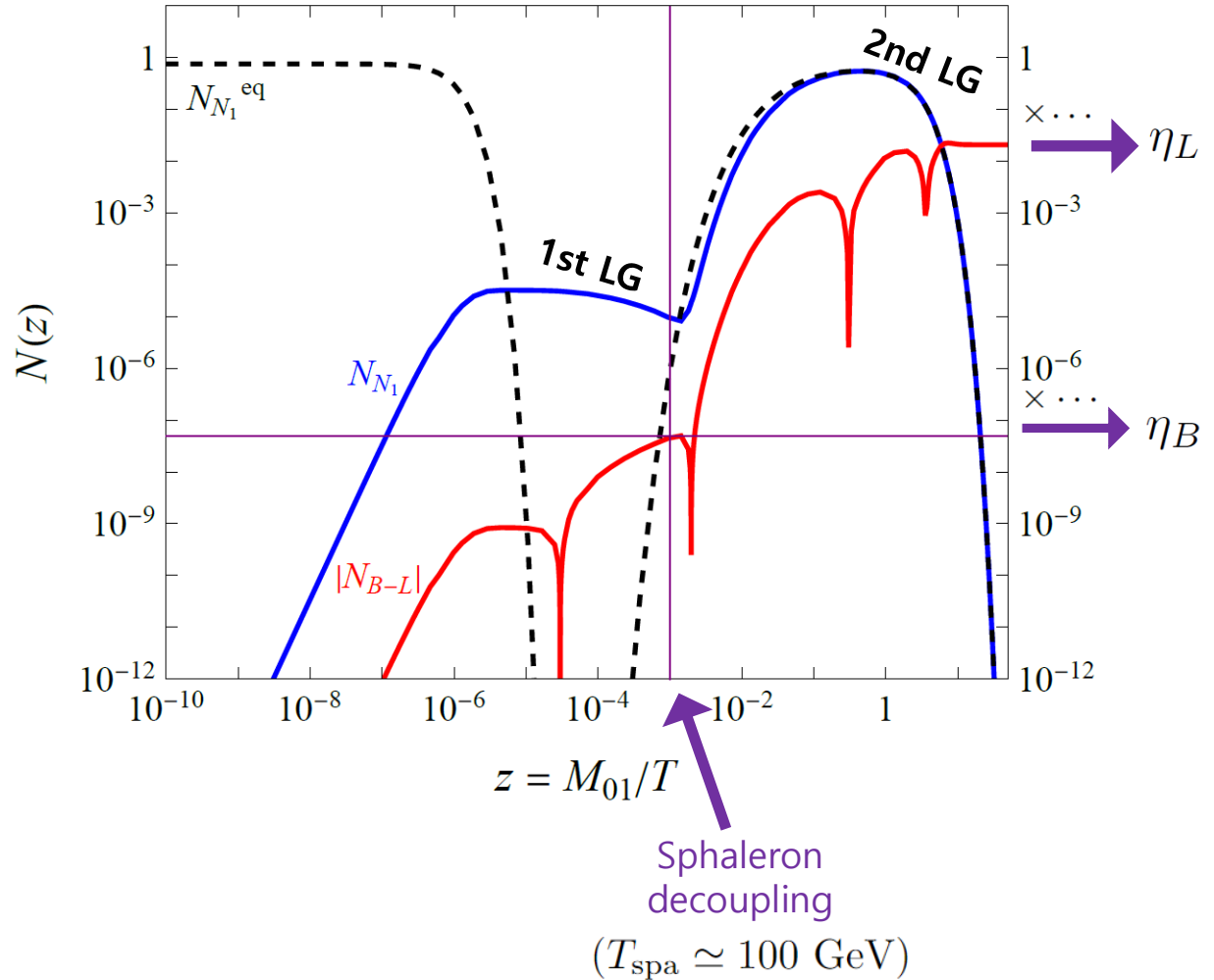
$$\eta_L = \frac{1}{f'} N_{B-L} \quad f' = 176/43$$

$$\eta_B = \frac{a_{\text{spha}}}{f} N_{B-L} \quad a_{\text{spha}} = 28/79, f = 1232/43$$

### Benchmark point

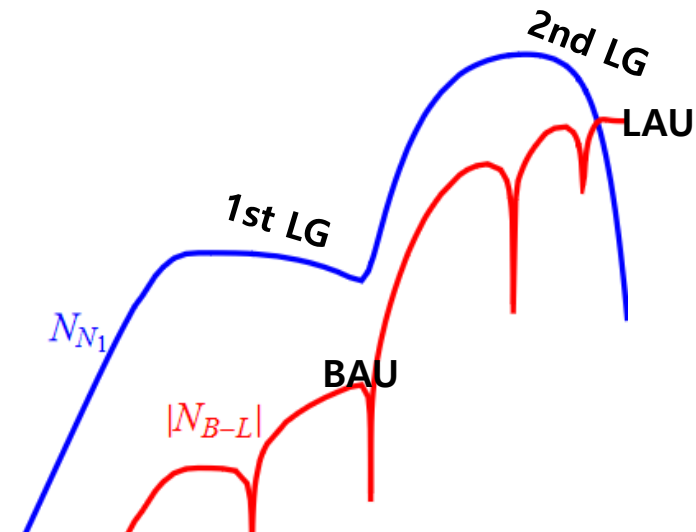
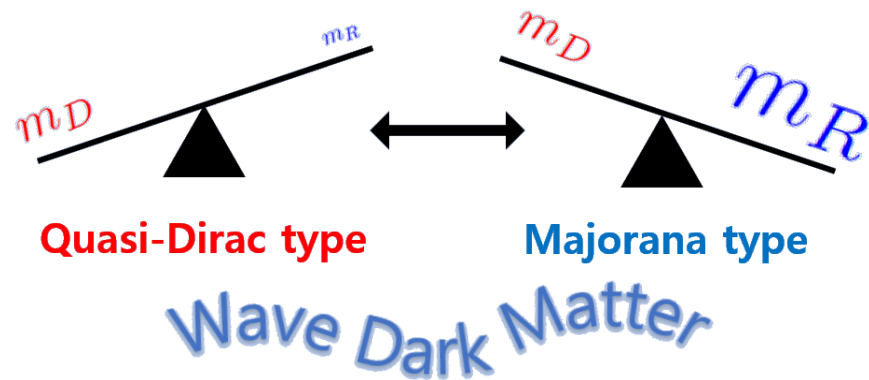
$m_\phi = 10^{-2}$  eV,  $M_0 = 0.1$  GeV,  $M_* = 2.4 \times 10^5$  GeV (for  $N_1$ )

... See our paper for detail



# Summary

- The **wave dark matter** can be source of the mass variation of the particle.
- If the ratio of the Dirac and Majorana mass term changes in time, the neutrino type may oscillate between quasi-Dirac type and Majorana type, so-called **Dirac-Majorana neutrino type oscillation**.
- The neutrino type oscillation predicts the modulation of  $0\nu\beta\beta$  decay.
- The mass scale evolves over cosmic history that gives link between early universe and present-time.
- The neutrino mass variation scenario provides **second leptogenesis**, which can be source of the large discrepancy between the lepton asymmetry and baryon asymmetry of the universe.



# Backup Slides

## Dirac/Majorana spinors formalism

$$\mathcal{L} = -yHLN - MNN + h.c.$$

Dirac neutrino  $\nu_D = \nu_L + \nu_R$

Majorana neutrino  $\nu_M = \nu_R + \nu_R^c$

Dirac mass term Majorana mass term

$$\begin{aligned} \mathcal{L} &= \boxed{-m_D \bar{\nu}_D \nu_D} - \boxed{\frac{1}{2} m_R \bar{\nu}_M \nu_M} \\ &= -\frac{1}{2} (\bar{\nu}_L \quad \bar{\nu}_R^c) \begin{pmatrix} 0 & m_D \\ m_D & m_R \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} + h.c. \end{aligned}$$

light/heavy mass eigenvalues:

$$m_{l,h} = \frac{1}{2} \left( m_R \mp \sqrt{m_R^2 + 4m_D^2} \right)$$

light/heavy mass eigenstates:

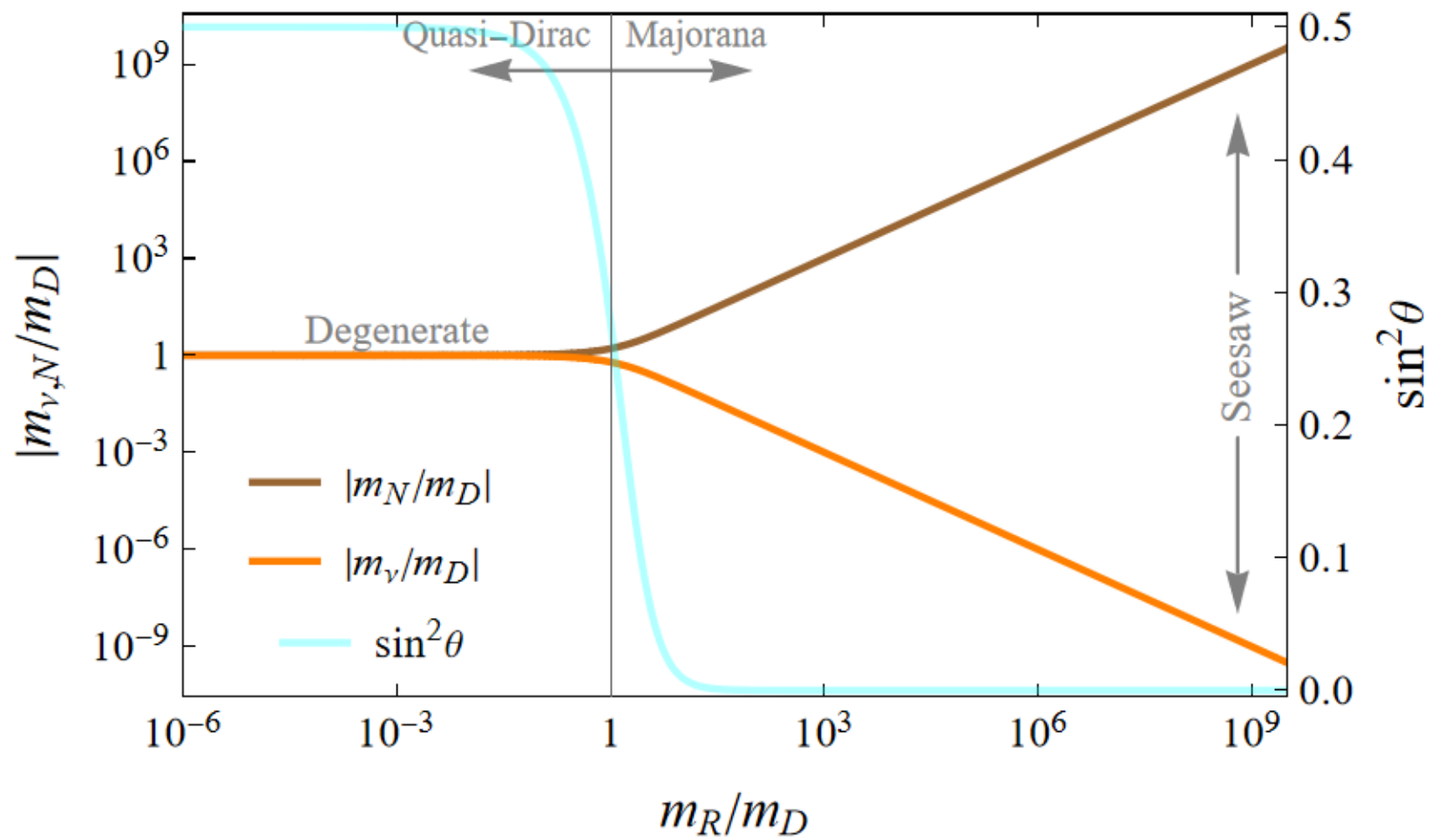
$$\begin{pmatrix} \nu_l \\ \nu_h \end{pmatrix} = \begin{pmatrix} \cos \theta_{LR} & \sin \theta_{LR} \\ -\sin \theta_{LR} & \cos \theta_{LR} \end{pmatrix} \begin{pmatrix} \nu_L^c \\ \nu_R \end{pmatrix} + h.c.$$

$$\text{where } \sin^2 \theta_{LR} = \frac{1}{2} \left( 1 - \frac{m_R}{\sqrt{m_R^2 + 4m_D^2}} \right)$$

# Quasi-Dirac & Majorana limits

	Pure Dirac case ( $m_R = 0$ )	Quasi-Dirac limit ( $m_D \gg m_R$ )	Majorana limit ( $m_D \ll m_R$ )
Mass eigenvalues	$ m_{l,h}  = m_D$	$ m_{l,h}  \simeq m_D(1 \mp 2\delta)$ where $\delta = m_R/4m_D$	$m_l \simeq -\frac{m_D^2}{m_R}$ , $m_h \simeq m_R$ Seesaw mechanism
Mixing angle	$\sin^2 \theta_{LR} = \frac{1}{2}$	$\sin^2 \theta_{LR} \simeq \left(\frac{1-\delta}{\sqrt{2}}\right)^2$	$\sin^2 \theta_{LR} \simeq \left(\frac{m_D}{m_R}\right)$
Mass eigenstates	$\nu_{l,h} = \frac{1}{\sqrt{2}} \left( (\nu_L + \nu_L^c) \pm (\nu_R + \nu_R^c) \right)$	$\nu_{l,h} \simeq \pm \frac{1 \pm \delta}{\sqrt{2}} (\nu_L + \nu_L^c) + \frac{1 \mp \delta}{\sqrt{2}} (\nu_R + \nu_R^c)$	$\nu_l \simeq \nu_L + \nu_L^c$ , $\nu_h \simeq \nu_R + \nu_R^c$
Mass term	$\frac{1}{2}(m_l \bar{\nu}_l \nu_l + m_h \bar{\nu}_h \nu_h) = m_D \bar{\nu}_D \nu_D$	$\frac{1}{2}(m_l \bar{\nu}_l \nu_l + m_h \bar{\nu}_h \nu_h) \simeq m_D \bar{\nu}_D \nu_D + \mathcal{O}(\delta)$	$\frac{1}{2}(m_l \bar{\nu}_l \nu_l + m_h \bar{\nu}_h \nu_h)$

## Quasi-Dirac & Majorana limits

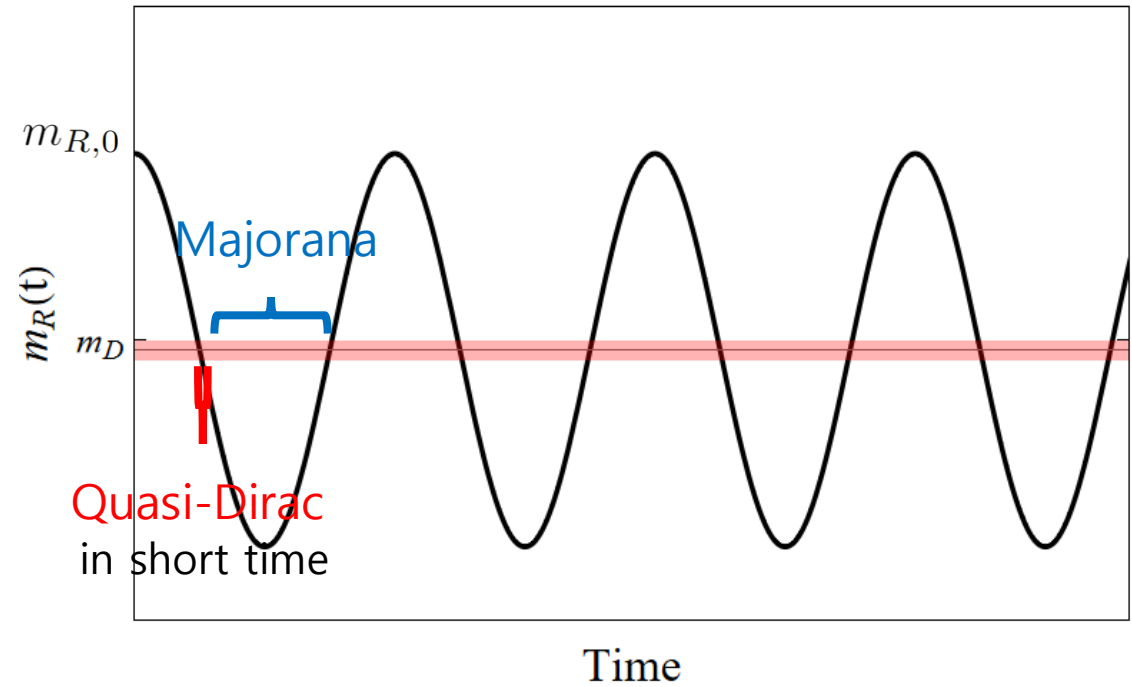




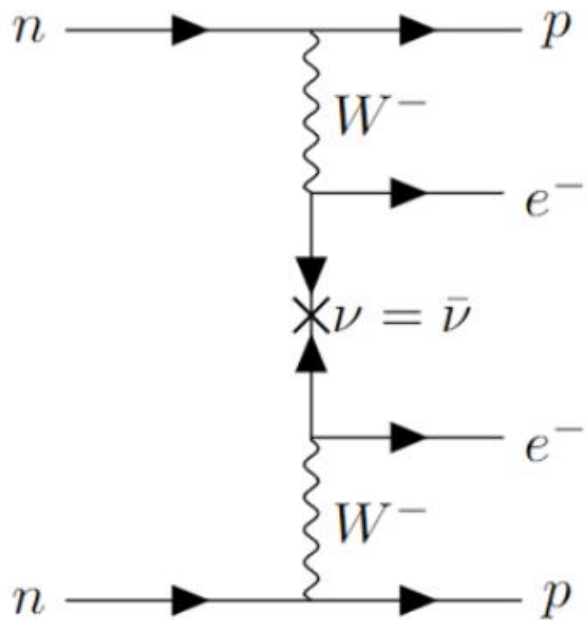
### Quasi-Dirac time ratio $\tau/T$

$$\frac{\tau}{T} = 1 \quad \text{for } m_D > m_{R,0}$$

$$\frac{m_D}{m_{R,0}} = \sin\left(\frac{\pi \tau}{2T}\right) \quad \text{for } m_D < m_{R,0}$$



# Neutrinoless double beta decay ( $0\nu\beta\beta$ ): only for massive Majorana neutrinos



$$\Gamma_{0\nu\beta\beta} \propto \mathcal{A}^2$$

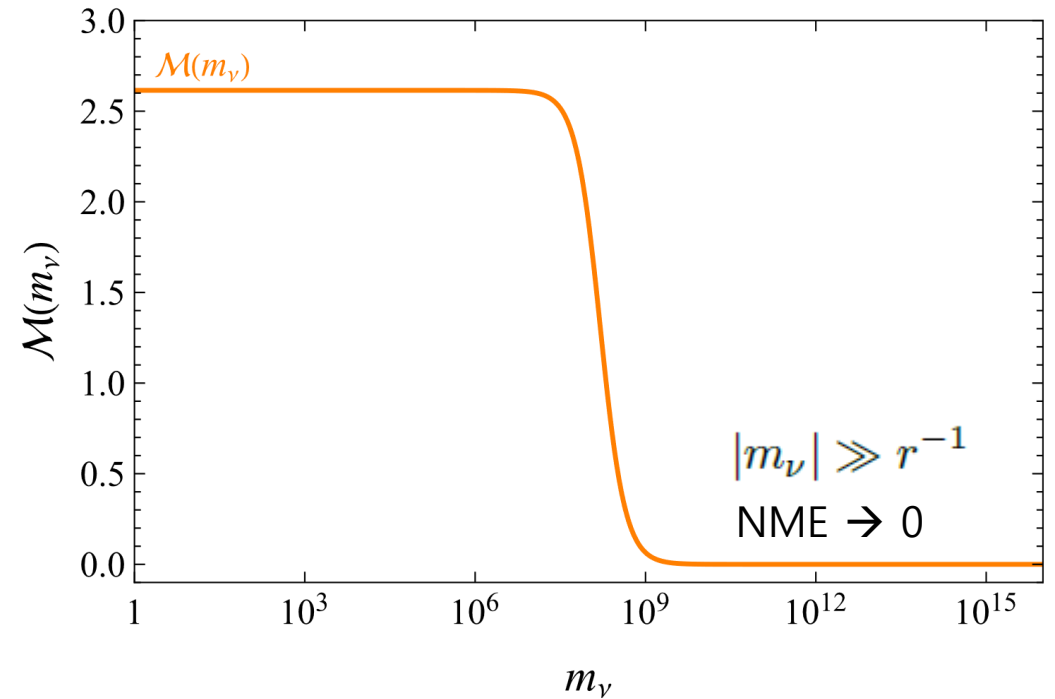
$$\mathcal{A} = \left| \sum_i U_{ei}^2 m_i \mathcal{M}(m_i) \right|$$

Extended PMNS matrix

Nuclear matrix element (NME)

$$\mathcal{M}(m_\nu) = m_p m_e \frac{|\mathcal{M}_N^{0\nu}|}{\langle p \rangle^2 + m_\nu^2}$$

$$\text{where } \langle p \rangle^2 = m_p m_e \left| \frac{\mathcal{M}_N^{0\nu}}{\mathcal{M}_\nu^{0\nu}} \right|$$



$r$  : inter-nucleon distance (fm)

## $0\nu\beta\beta$ decay with Type oscillation

Single-flavor case:

$$\mathcal{A} = |m_l \cos^2 \theta_{LR} \mathcal{M}(m_l) + m_h \sin^2 \theta_{LR} \mathcal{M}(m_h)|$$

$|m_\nu| \simeq |m_N|$ ,  $\cos^2 \theta \simeq \sin^2 \theta$  in quasi-Dirac limit

$\rightarrow \mathcal{A}$  is strongly suppressed

Majorana limit in the most of the time:

$\sin^2 \theta_{LR} \simeq 0$ ,  $\mathcal{M}(m_h) \simeq 0 \rightarrow 0\nu\beta\beta$  bound is reduced into  $\langle |m_l| \rangle$  bound

Three-flavors case: 
$$\mathcal{A} = \left| \sum_i U_{ei}^2 m_i \mathcal{M}(m_i) \right|$$

Mixing matrix element

$$U_{e1} = \cos \theta_{13} \cos \theta_{12} \cos \theta_{LR}^{11}$$

$$U_{e2} = \cos \theta_{13} \sin \theta_{12} \cos \theta_{LR}^{22}$$

$$U_{e3} = \sin \theta_{13} \cos \theta_{LR}^{33}$$

$$U_{e4} = \cos \theta_{13} \cos \theta_{12} \sin \theta_{LR}^{11}$$

$$U_{e5} = \cos \theta_{13} \sin \theta_{12} \sin \theta_{LR}^{22}$$

$$U_{e6} = \sin \theta_{13} \sin \theta_{LR}^{33}$$

Three pairs of  
light/heavy mass term



$\mathcal{A}$  is suppressed  
in quasi-Dirac limit

# Lepton asymmetry

$$\eta_L \equiv \frac{n_L - n_{\bar{L}}}{n_\gamma} \simeq \sum_l \frac{g_l \pi^2}{12\zeta(3)} \left(\frac{T_l}{T_\gamma}\right)^3 \xi_l$$

FD distribution

$$\xi_e \equiv \frac{\mu_{\nu_e}}{T} = 0.05^{+0.03}_{-0.02}$$

EMPRESS measurement  
of  ${}^4\text{He}$  abundance

$$\eta_L \simeq \frac{\pi^2 \sum_{i=e,\mu,\tau} \xi_{\nu_i}}{6\zeta(3)} \left(\frac{T_\nu}{T_\gamma}\right)^3 \simeq (7.5^{+4.5}_{-3.0}) \times 10^{-2}$$

$$\xi_{\nu_e} = \xi_{\nu_\mu} = \xi_{\nu_\tau} \quad \text{Flavor universality (due to flavor oscillation)}$$

Charged lepton asymmetry  $\sim \eta_B$  (small)  
(due to electrically neutral universe)

## Spaleron process ( $T \geq 100$ GeV)

SU(2) gauge interaction  $\mathcal{L} = \sum_i \bar{\psi}_L^i \gamma^\mu D_\mu \psi_L^i$

$$\psi_L^i = \{q_L^i, l_L^i\}$$
$$D_\mu = \partial_\mu - ig\tau^a W_\mu^a$$

Anomaly via triangle diagram  $\partial^\mu j_\mu^i = \frac{1}{64\pi^2} F_{\mu\nu}^a \tilde{F}^{\mu\nu a}$  (for Noether current corresponding to global symmetry)

$$\Delta N_F^i = \int d^4x j_\mu^i \text{ is nonzero}$$

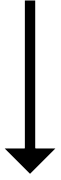
During process,  $\Delta L_e = \Delta L_\mu = \Delta L_\tau = \Delta B/3$

$\rightarrow B - L$  is conserved

## Setup for Second LG

$$\mathcal{L} = -\frac{1}{2}(M_{0i} + g_i\phi)\bar{N}_i^c N_i + \text{h.c.}$$

$$M_i(t) = M_{0i} + g_i\phi(t)$$

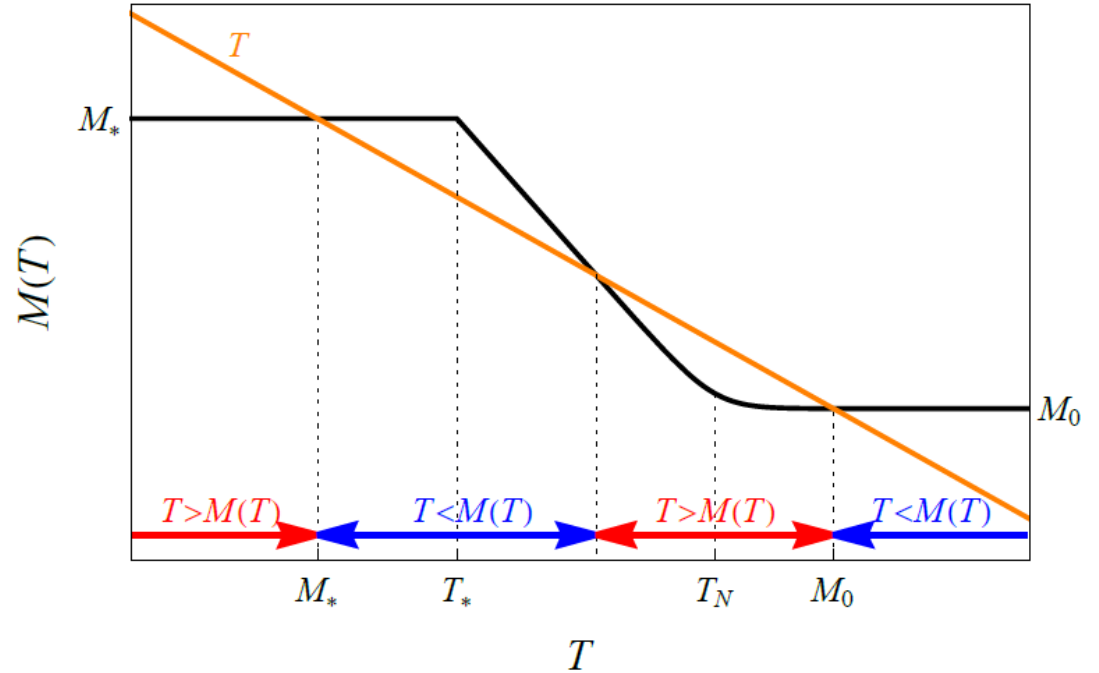


$$M_i(T) \simeq \begin{cases} M_{*i} & T > T_*, \\ M_{0i} + g_i \frac{\phi_0}{\sqrt{2}} \left(\frac{T}{T_0}\right)^{3/2} & T_* > T > T_{N_i} \\ M_{0i} & T_{N_i} > T, \end{cases}$$

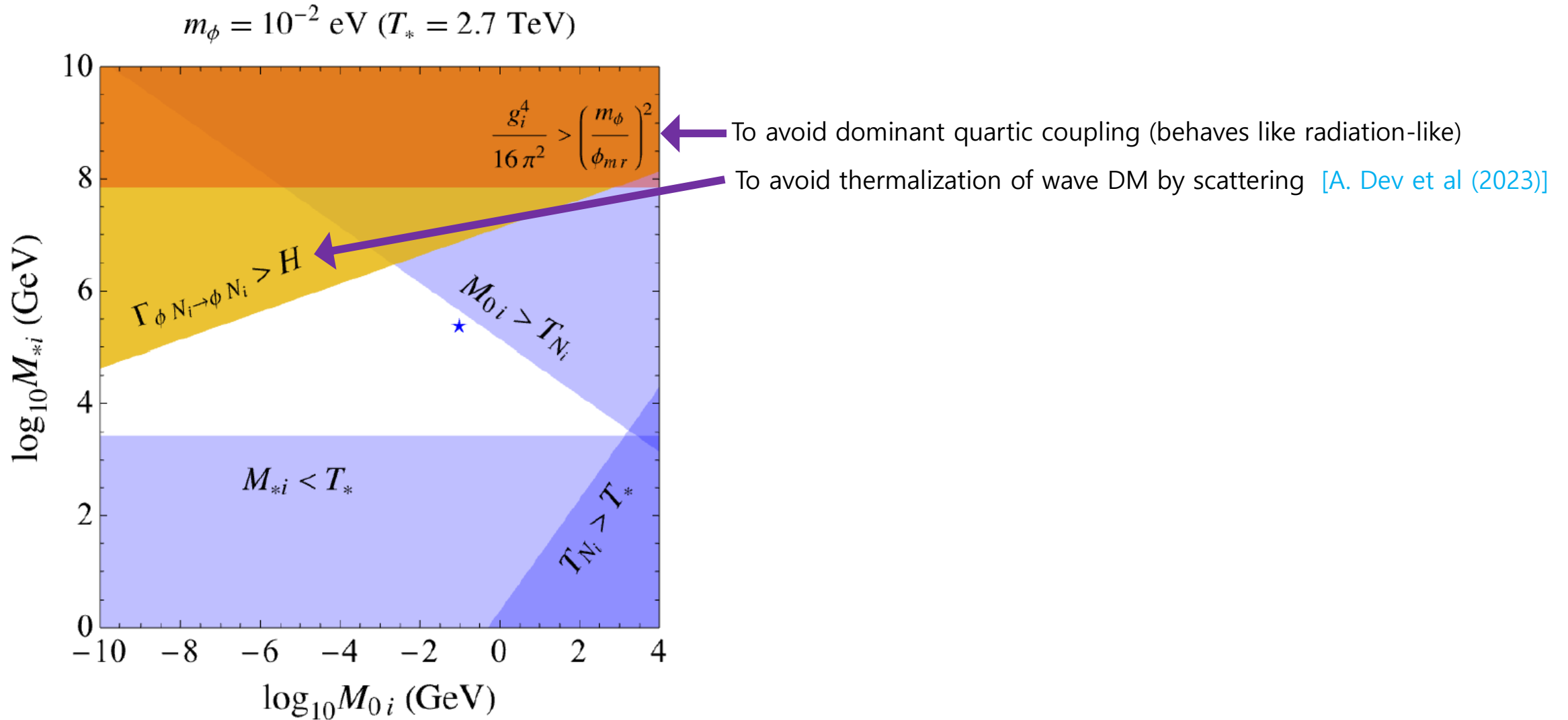
where  $M_{*i} \equiv M_{0i} + g_i\phi_0(T_*/T_0)^{3/2}/\sqrt{2}$ .

$$T_* \simeq \left(m_\phi M_{\text{Pl}} \sqrt{90/(8\pi^3 g_*)}\right)^{1/2}$$

$$M_{0i} = g_i\phi_0(T_{N_i}/T_0)^{3/2}/\sqrt{2}$$



## Allowed region for Second LG



## Boltzmann equation

$$\frac{dN_{N_1}}{dz} = -D(N_{N_1} - N_{N_1}^{\text{eq}}),$$
$$\frac{dN_{B-L}}{dz} = -\epsilon_1 \underset{\substack{\uparrow \\ \text{Decay term}}}{D}(N_{N_1} - N_{N_1}^{\text{eq}}) - \underset{\substack{\uparrow \\ \text{Washout term}}}{W} N_{B-L}, \quad \text{where } z = M/T$$

$$\frac{dN_{N_1}}{dz} > 0 \text{ when } N_{N_1} < N_{N_1}^{\text{eq}}$$

$$\frac{dN_{B-L}}{dz} > 0 \text{ when } N_{N_1} < N_{N_1}^{\text{eq}} \text{ and } W \text{ term is sub-dominant}$$

$$\frac{dN_{B-L}}{dz} > 0 \text{ when } N_{B-L} < 0 \text{ and } W \text{ term is dominant}$$



## Boltzmann equation as Density matrix form

$$\frac{dN_{N_i}}{dz} = -D_i(N_{N_i} - N_{N_i}^{\text{eq}})$$

$$\frac{dN_{\alpha\beta}}{dz} = \sum_i \left[ \epsilon_{i,\alpha\beta} D_i(N_{N_i} - N_{N_i}^{\text{eq}}) - \frac{1}{2} W_i \{P_i, N\}_{\alpha\beta} \right]$$

$$- \frac{\Gamma_\tau}{Hz} [I_\tau, [I_\tau, N]]_{\alpha\beta} - \frac{\Gamma_\mu}{Hz} [I_\mu, [I_\mu, N]]_{\alpha\beta}$$

where  $z = M/T$      $i = 1, 2, 3$      $\alpha, \beta = e, \mu, \tau$

$\epsilon_{i,\alpha\beta}$  is CP asymmetry as density matrix form

$D_i$  is decay term     $W_i$  is washout term

$P_i$  is projection operator (constructed by Yukawa matrix)

$\Gamma_\tau$  and  $\Gamma_\mu$  are decay rate involving muon and tau for off-diagonal terms  
(decoherence effect), respectively

$I_\tau = \text{diag} (0, 0, 1)$  and  $I_\mu = \text{diag} (0, 1, 0)$

## CP asymmetry & Casas-Ibarra parametrization

$$\epsilon_i \propto \sum_{k \neq i} \frac{\text{Im}(y^\dagger y)_{ik}^2}{(y^\dagger y)_{ii}(y^\dagger y)_{kk}} \frac{(M_k^2 - M_i^2)M_i\Gamma_k}{(M_k^2 - M_i^2)^2 + M_i^2\Gamma_k^2}$$

Resonant condition:  $M_k - M_i \simeq \Gamma_k/2$

$$y = \sqrt{2}\hat{M}_N^{1/2} R \hat{M}_\nu^{1/2} U_{\text{PMNS}}^\dagger / v.$$

$$R = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{\omega_1} & s_{\omega_1} \\ 0 & -s_{\omega_1} & c_{\omega_1} \end{pmatrix} \begin{pmatrix} c_{\omega_2} & 0 & s_{\omega_2} \\ 0 & 1 & 0 \\ -s_{\omega_2} & 0 & c_{\omega_2} \end{pmatrix} \begin{pmatrix} c_{\omega_3} & s_{\omega_3} & 0 \\ -s_{\omega_3} & c_{\omega_3} & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

[K. Moffat et al (2018)]

## Input parameters for Benchmark point

$$m_\phi = 10^{-2} \text{ eV} \quad (T_* \simeq 2.7 \times 10^3 \text{ GeV})$$

$$M_{01} = 0.1 \text{ GeV}$$

$$M_{*1} = 2.4 \times 10^5 \text{ GeV}$$

$$\Delta M_{12} \equiv M_{02} - M_{01} = 0.5 \times 10^{-19} \text{ GeV}$$

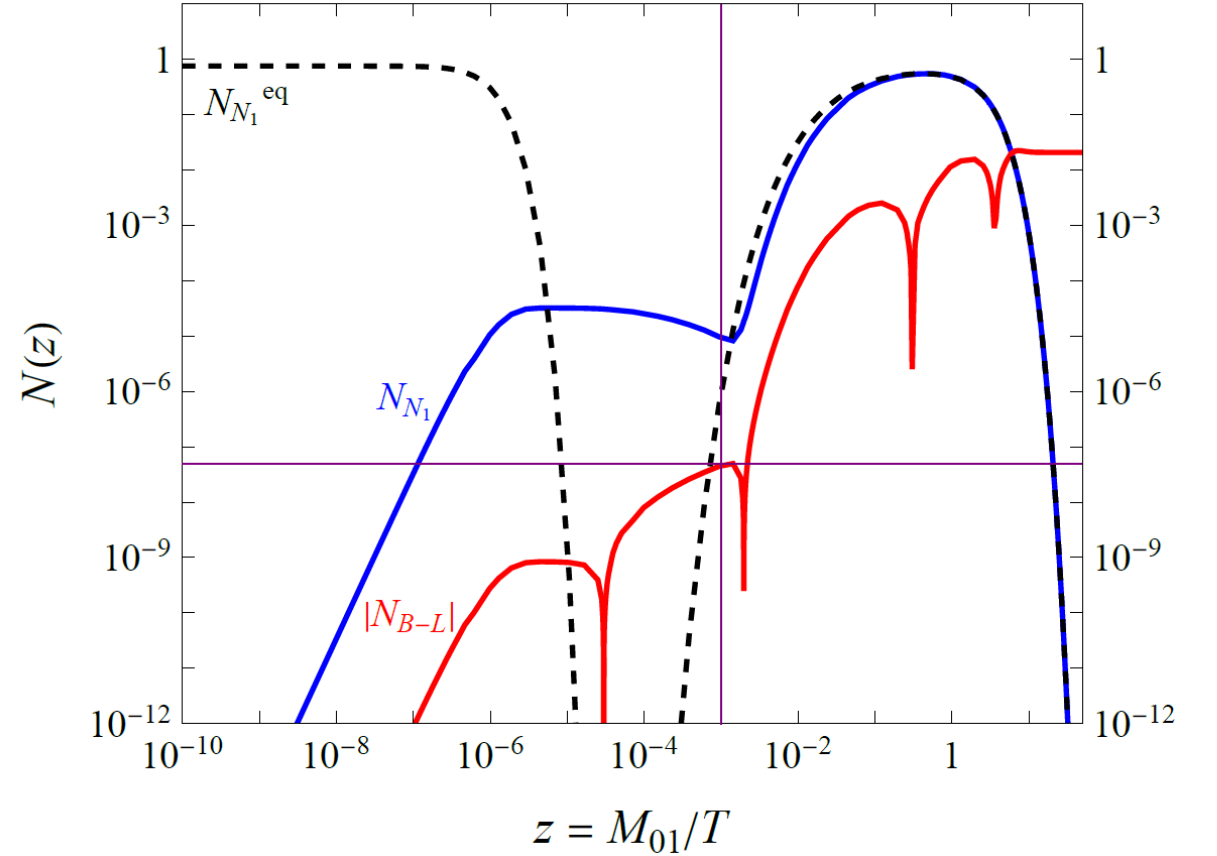
$$\Delta M_{13} \equiv M_{03} - M_{01} = 4.0 \times 10^{-19} \text{ GeV}$$

$$M_{*i}/M_{*1} = M_{0i}/M_{01} \quad (i = 2, 3)$$

$$m_{\nu_1} = 0 \text{ eV}$$

$$\delta_{CP} = \pi, \quad \alpha_1 = \alpha_2 = 0$$

$$\omega_1 = \omega_2 = 0, \quad \omega_3 = 0.2e^{i\pi/4}$$



## Photon dilution and Sphaleron factors

$$\eta_B = \frac{a_{\text{spha}}}{f} N_{B-L} \quad a_{\text{spha}} = 28/79, \quad f = 1232/43$$

Photon dilution  
from 1st LG to BBN

$$\eta_L = \frac{1}{f'} N_{B-L} \quad f' = 176/43$$

Photon dilution  
from 2nd LG to BBN

$f = g_S^*/g_S^0$  Dilution factor is given by the ratio between relativistic effective degrees of freedom in entropy, because the photon number increases by the annihilation process of the particles.  
[\[W. Buchmuller et al \(2002\)\]](#)